



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/822,770
Filing Date	March 30, 2001
First Named Inventor	Warren F. Rogers
Group Art Unit	2863
Examiner Name	D. Pretlow
Attorney Docket Number	W0009/7000P4

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication/Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
DP	C-A	5,423,457	06/13/1995	Nicholas et al.	
DP	C-B	5,316,057	05/31/1994	Hasselmann	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>4</sup> - Number <sup>5</sup> - Kind Code <sup>6</sup> (if known)				
DP	C-C	WO 02/079731 A1	10-10-2002	Warren Rogers Assoc.		
DP	C-D	WO 01/04586 A1	01-18-2001	Warren Rogers Assoc.		
DP	C-E	EP App. No. 00 945 280.6-1260, Examination Report dated June 24, 2003		Warren Rogers Assoc.		
DP	C-F	EP App. No. 00 945 280.6-1260, Supplementary European Search Report dated July 31, 2002		Warren Rogers Assoc.		
DP	C-G	PCT Written Opinion, PCT/US02/10096, dated March 18, 2004	10-10-02	Warren Rogers Assoc.		

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

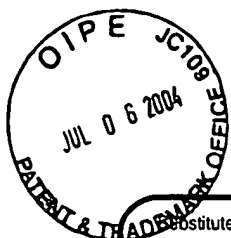
Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

Examiner Signature	<i>Daneth Pretlow</i>	Date Considered	2/9/05
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<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				<i>Application Number</i>	09/822,770
				<i>Filing Date</i>	March 30, 2001
				<i>First Named Inventor</i>	Warren F. Rogers
				<i>Art Unit</i>	2863
				<i>Examiner Name</i>	Demetrius R. Pretlow
				<i>Attorney Docket Number</i>	W0009/7000P4
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
DP	AD	CA 2,257,589	N/A	Warren Rogers Associates, Inc.		
DP	AE	EP-009792795.4	03-31-1999	Warren Rogers Associates, Inc.		
DP	AF	WO PCT/US02/10096	N/A	Warren Rogers Associates, Inc.		
DP	AG	CA 2,378,699	N/A	Warren Rogers Associates, Inc.		
DP	AH	EP-00945280.6	10-23-2002	Warren Rogers Associates, Inc.		
DP	AI	WO-PCT/US00/18743	01-18-2001	Warren Rogers Associates, Inc.		

Examiner Signature: <i>Demetrius R. Pretlow</i>	Date Considered: <i>2/9/05</i>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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		Application Number	09/822,770
		Filing Date	03/30/2001
		First Named Inventor	Warren F. Rogers
		Art Unit	2856
		Examiner Name	Unknown
		Attorney Docket Number	W0009/7004P4

U.S. PATENT DOCUMENTS					
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		Number - Kind Code <sup>2</sup> (if known)			
DP	AA	6,401,045 - B1	06-04-2002	Rogers et al.	
DP	AB	09/350,973	N/A	Rogers et al.	

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
DP	AC	WO/97/46855-A1	12-11-1997	Warren Rogers Associates, Inc.		
DP	AD	CA 2,257,589*	NA	Warren Rogers Associates, Inc.		
DP	AE	EP-0097927925.4*	03-31-1999	Warren Rogers Associates, Inc.		
DP	AF	WO PCT/US02/10096*	N/A	Warren Rogers Associates, Inc.		
DP	AG	CA 2,378,699*	N/A	Warren Rogers Associates, Inc.		
DP	AH	EP-00945280.6*	10-23-2002	Warren Rogers Associates, Inc.		
DP	AI	WO-PCT/US00/18743*	01-18-2001	Warren Rogers Associates, Inc.		

Examiner Signature	<i>Dennis P. Miller</i>	Date Considered	5/7/03
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